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1 thirty-three years old, 6'2" tall, and weighed approximately 220
            (Compl. ¶ 11 (#1).) Dr. Rich was a licensed physician and
  emergency room resident at Spring Valley Hospital in Las Vegas,
            (<u>Id.</u> ¶ 12.) Dr. Rich had a history of seizure disorders.
5
   (Id. ¶ 11.)
        On January 4, 2007, Dr. Rich was driving his pick-up truck on
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  Interstate-15 on his way to work. (Id. \P 12.) As he was driving,
8 \parallel \text{Dr. Rich suffered a seizure which rendered him unable to control the}
  truck and resulted in several minor traffic collisions, witnessed by
10 Nevada Highway Patrol ("NHP") Officer Loren Lazoff ("Officer
              (Id. \P\P 12-13.)
                               Once the truck came to a stop next to
12 \parallel the center divider of the highway, Officer Lazoff approached Dr.
13 Rich's truck and ordered him to exit the truck. (Id. \P 13.) Dr.
14 Rich, however, was in a dazed post-seizure state and did not comply
|15| with Lazoff's repeated instructions to exit the vehicle.
                                                               (Id.)
16 Officer Lazoff then broke the passenger-side window, attempted to
17 shift the truck out of gear, grabbed the keys and turned off the
18 engine, and again ordered Dr. Rich to exit the vehicle. (Id. ¶ 14.)
19
        A struggle ensued once Officer Lazoff attempted to handcuff Dr.
20 Rich through the passenger window. (Id. ¶ 15.) Officer Lazoff was
21 able to pull Dr. Rich out of the truck and onto his back on the
22 pavement, but Dr. Rich continued to resist being handcuffed. (Id.
23 ¶¶¶ 15-16.) At the point where Dr. Rich eluded Officer Lazoff's
   grasp and began heading toward the traffic lanes of the highway,
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assert in their respective motions that the events at issue occurred on January 4, 2008, and Plaintiffs' claims are therefore not time-barred, as they filed the complaint on December 30, 2009.

1 Officer Lazoff discharged his TASER Model X26 Electronic Control 2 Device ("ECD") three times into Dr. Rich's chest from a distance of  $3 \parallel$ about three to four feet. (Id.  $\P$  16.) Once Dr. Rich was on the 4 ground, Officer Lazoff turned him into his stomach and discharged 5 the ECD two additional times to Dr. Rich's right thigh. (Id.  $\P\P$  17- $6 \parallel 18.)$  Officer Lazoff was then able to handcuff Dr. Rich with the 7 help of a passerby. (Id.  $\P$  18.)

Officer Lazoff then returned to his patrol vehicle in order to 9 call an ambulance. (Id. ¶ 20.) While he was placing the call, the passerby informed Officer Lazoff that Dr. Rich was turning blue.

(Id.) Paramedics arrive shortly thereafter to transport Dr. Rich to  $12 \parallel \text{Spring Valley Hospital where he was pronounced dead.}$  (Id. ¶ 21.)

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# II. Procedural Background

15 On December 30, 2009, Plaintiffs filed the complaint (#1) 16 asserting the following five causes of action: (1) Negligence; (2) 17 Strict Product Liability; (3) Intentional Misrepresentation; (4) 18 Fraudulent Concealment and Deceit; and (5) Negligent 19 Misrepresentation. Defendant TASER filed its answer (#13) on April 20 26, 2010.

On July 2, 2011, Defendant TASER filed a motion in limine (#50) 22 seeking to exclude the proposed expert testimony of Dr. Jerome 23 Engel. Plaintiffs responded (#77) on August 8, 2011. TASER replied (#85) on August 25, 2011.

Also on July 2, 2011, Defendant TASER filed a motion in limine (#51) seeking to exclude the proposed expert testimony of Dr.

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1 Michael Wogalter. Plaintiffs responded (#65) on August 8, 2011.
   TASER replied (#86) on August 25, 2011.
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        Also on July 2, 2011, Defendant TASER filed a motion in limine
   (#52) seeking to exclude the proposed expert testimony of Dr.
5 Douglas Zipes. Plaintiffs responded (#66) on August 8, 2011, and
6 TASER replied (#87) on August 25, 2011.
7
        Defendant TASER also filed a motion for summary judgment (#53)
8 \parallel on July 2, 2011. Plaintiffs responded (#67) on August 8, 2011, and
  TASER replied (#88) on August 25, 2011.
10
        TASER filed a supplement to its motion for summary judgment
   (#91) on October 17, 2011 to which Plaintiffs responded (#92) on
12 \parallel \text{October 20, 2011, and TASER replied (#93) on October 24, 2011.}
13
        TASER filed an additional supplement (#98) to the motion for
14 summary judgment (#53) on December 2, 2011. Plaintiffs objected
15 \parallel (#99) on December 6, 2011, and TASER replied (#101) on December 9,
16 2011.
        Plaintiffs filed a supplement (#108) to their response (#67) to
17
18 \parallel the motion for summary judgment (#53) on January 18, 2012. TASER
19 responded (#110) to Plaintiffs' supplemental response (#108) on
20 January 25, 2012, and Plaintiffs replied (#113) on February 13,
21 2012.
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        TASER filed a motion to strike (#109) Plaintiffs' supplemental
23 response (#108) to the motion for summary judgment (#53) on January
24 25, 2012. Plaintiffs responded (#112) on February 13, 2012, and
25 TASER replied (#115) on February 23, 2012.
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Plaintiffs filed an additional supplement (#118) to their response (#67) to TASER's motion for summary judgment (#53) on March 27, 2012.

#### III. Legal Standard

### A. The Daubert Standard

Federal Rule of Evidence ("FRE") 702 provides the following:

If scientific, technical, or other specialized knowledge will assist the trier of fact to understand the evidence or to determine a fact in issue, a witness qualified as an expert by knowledge, skill, experience, training or education, may testify thereto in the form of an opinion or otherwise.

FED. R. EVID. 702. In <u>Daubert v. Merrell Dow Pharmaceuticals, Inc.</u>, the Supreme Court held that a trial court performs a "gatekeeping role" when performing a Rule 702 analysis, which requires that the court admit only that expert testimony that is both relevant and reliable. 509 U.S. 579, 589 (1993). Testimony is relevant if it will "assist the trier of fact to understand the evidence or to determine a fact in issue." FED. R. EVID. 702. That is, the expert

testimony must be "tied to the facts of the particular case."

Daubert, 509 U.S. at 591.

With regard to scientific knowledge, the trial court initially must determine whether the reasoning or methodology used is scientifically valid and is applied properly to the facts at issue in the trial. Id. at 589. To aid the Court in this gatekeeping role, the Supreme Court has identified several key considerations, including (1) whether the theory or method employed by the expert has gained general acceptance in the relevant scientific community;

(2) whether the method has been subject to peer-review and publication; (3) whether the method employed can be and has been 3 tested; and (4) whether the known or potential rate of error and the existence and maintenance of standards controlling the technique is Id. At 592-94. "The four factors enumerated are 5 acceptable. 6 illustrative rather than exhaustive, and may not be equally 7 applicable in every case. For example, where an expert has not conducted original research, but is offering an opinion based upon 9 that expert's survey of available literature, the last two factors  $10 \parallel \text{may not apply at all.}''$  Cabrera v. Cordis Corp., 945 F.Supp. 209,  $11 \parallel 212$  (D.Nev. 1996) (citing Daubert v. Merrell Dow Pharm., Inc., 43  $12 \parallel F.3d 1311, 1317 n.4 (9th Cir. 1995))$ . For this reason, the trial 13 court has broad discretion in determining whether the Daubert 14 factors reasonably measure reliability in a given case. Kumho Tire Co. v. Carmichael, 526 U.S. 137, 153 (1999). The objective of the 16 gatekeeping requirement set forth in Daubert is to ensure "that an 17 expert, whether basing testimony upon professional studies or personal experience, employs in the courtroom the same level of 19 intellectual rigor that characterizes the practice of an expert in 20 the relevant field." Id. at 152.

On remand from the Supreme Court, the Ninth Circuit offered 22 further guidance to trial courts:

> One very significant fact to be considered is whether the experts are proposing to testify about matters growing naturally and directly out of research they have conducted independent of the litigation, or whether they have developed their opinions expressly for purposes of testifying. .

That an expert testifies based on research he has conducted independent of the litigation provides

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important, objective proof that the research comports with the dictates of good science.

Daubert, 945 F.Supp. at 1317.

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# B. Summary Judgment Standard

Summary judgment allows courts to avoid unnecessary trials where no material factual dispute exists. Nw. Motorcycle Ass'n v. U.S. Dep't of Agric., 18 F.3d 1468, 1471 (9th Cir. 1994). The court must view the evidence and the inferences arising therefrom in the light most favorable to the nonmoving party, Bagdadi v. Nazar, 84 F.3d 1194, 1197 (9th Cir. 1996), and should award summary judgment where no genuine issues of material fact remain in dispute and the moving party is entitled to judgment as a matter of law. FED. R. CIV. P. 56(c). Judgment as a matter of law is appropriate where there is no legally sufficient evidentiary basis for a reasonable jury to find for the nonmoving party. FED. R. CIV. P. 50(a). reasonable minds could differ on the material facts at issue, however, summary judgment should not be granted. Warren v. City of Carlsbad, 58 F.3d 439, 441 (9th Cir. 1995), cert. denied, 516 U.S. 1171 (1996).

The moving party bears the burden of informing the court of the basis for its motion, together with evidence demonstrating the absence of any genuine issue of material fact. Celotex Corp. v. Catrett, 477 U.S. 317, 323 (1986). Once the moving party has met its burden, the party opposing the motion may not rest upon mere allegations or denials in the pleadings, but must set forth specific facts showing that there exists a genuine issue for trial. Anderson v. Liberty Lobby, Inc., 477 U.S. 242, 248 (1986). Although the

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1 parties may submit evidence in an inadmissible form--namely,
   depositions, admissions, interrogatory answers, and affidavits -- only
3 evidence which might be admissible at trial may be considered by a
  trial court in ruling on a motion for summary judgment. Fed. R. Civ.
  P. 56(c); Beyene v. Coleman Sec. Servs., Inc., 854 F.2d 1179, 1181
   (9th Cir. 1988).
7
        In deciding whether to grant summary judgment, a court must
8 \parallel take three necessary steps: (1) it must determine whether a fact is
9 material; (2) it must determine whether there exists a genuine issue
10 \parallel \text{for the trier of fact, as determined by the documents submitted to}
11 the court; and (3) it must consider that evidence in light of the
12 appropriate standard of proof. Anderson, 477 U.S. at 248. Summary
13 judgment is not proper if material factual issues exist for trial.
  B.C. v. Plumas Unified Sch. Dist., 192 F.3d 1260, 1264 (9th Cir.
15 1999). As to materiality, only disputes over facts that might
16 affect the outcome of the suit under the governing law will properly
17 preclude the entry of summary judgment. Disputes over irrelevant or
18 unnecessary facts should not be considered. Id. Where there is a
19 complete failure of proof on an essential element of the nonmoving
20 party's case, all other facts become immaterial, and the moving
21 party is entitled to judgment as a matter of law. Celotex, 477 U.S.
22 at 323. Summary judgment is not a disfavored procedural shortcut,
23 but rather an integral part of the federal rules as a whole. Id.
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# IV. Defendant TASER's Motions in Limine (## 50, 51, 52)

Defendant TASER's motions in limine seek to exclude at trial the testimony of Plaintiffs' proposed expert witnesses Dr. Jerome Engel, Dr. Michael Wogalter, and Dr. Douglas Zipes.

#### 5 A. Dr. Jerome Engel (#50)

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Dr. Engel is a practicing neurologist specializing in the 7 diagnosis and treatment of epilepsy and is presently the Director of the UCLA Seizure Disorder Center.

Dr. Engel testified that he reviewed all of the available 10 medical records, including the pathology report, and the  $11 \parallel \text{descriptions}$  of the incident on January 4, 2008. He also reviewed  $12 \parallel$ one paper and a chapter from a textbook he edited regarding sudden 13 unexpected/unexplained death in an epileptic person ("SUDEP"). He 14 also engaged in a conversation with a colleague who specializes in 15 the study of sudden infant death syndrome ("SIDS") regarding 16 respiratory versus cardiac arrest as a mechanism precipitating 17 death.

Dr. Rich's report concludes "[i]t is my opinion, to a 19 reasonable degree of medical certainty, that Dr. Rich's death was 20 not in any way related to either the seizure he experienced minutes 21 before, his subsequent postictal state, or SUDEP." (Def.'s Mot. 22 Summ. J. (#53) Ex. 13.) However, the Court concludes that Dr. Engel 23 is not qualified to offer expert testimony regarding cause of death, 24 nor is he qualified to offer expert testimony regarding SUDEP. 25 While Dr. Engel is an expert in epilepsy, he has never conducted 26 research on SUDEP or authored articles on the issue. Further, Dr.

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1 Engel has never diagnosed a cause of death. Finally, the Court is  $2 \parallel \text{not convinced that Dr. Engel is "proposing to testify about matters"}$ growing naturally and directly" out of his own work he conducts independent of this litigation. <u>Daubert</u>, 945 F.Supp. at 1317. Again, Dr. Engel does not engage with SUDEP or diagnosing causes of death in his own research. For this reason, the Court cannot find that his testimony is reliable, and it must be excluded. 8 Further, the rest of Dr. Engel's proffered expert testimony does not directly present an issue under Daubert. Dr. Engel's opinions that Dr. Rich had epilepsy and suffered a seizure and 11 subsequent postictal state on January 4, 2008 are undisputed facts

12 in this case, and Dr. Engel's testimony is therefore not helpful to

13 the trier of fact. As such, Dr. Engel's testimony must be excluded 14 in its entirety.

15 B. Dr. Michael S. Wogalter, Ph.D. (#51)

Dr. Wogalter is a professor at North Carolina State University 17 and a nationally recognized expert on product warnings and safety. 18 He has a B.A. in Psychology, an M.A. in Human Experimental 19 | Psychology, a Ph.D. in Human Factors Psychology, and has published 20 extensively on these topics.

Dr. Wogalter's report (#74-2), which he testifies contains the 22 entirety of his opinions in this case, states that his "bottom-line" 23 opinion in the present case is that this product's warnings and instructions are defective." (Wogalter Report (#74-2) at 3.) Likewise, Dr. Wogalter's report concludes that

> the warning system for the TASER Model X26 is defective in regards to the risk of inducing cardiac arrest through

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darts near the heart. The defects rendered this product unreasonably dangerous such that the defendant in this case should not have made it available for sale in the condition it was sold.

(Id. at 14.) As is apparent from this language taken from his report, Dr. Wogalter is preparing to testify as to ultimate legal issues that are the province of the jury, namely the adequacy of the warnings and causation. "Whether the defendant gave adequate warnings usually is a jury question." Neal-Lomax v. Las Vegas

Metro. Police Dept., 574 F.Supp.2d 1193, 1198 (citing Oak Grove Investors v. Bell & Gossett Co., 668 P.2d 1075, 1080 (Nev. 1983)).

As such, Dr. Wogalter's testimony is not helpful and would only serve to confuse the jury.

Dr. Wogalter also offers his opinion of the effects of the warnings on a user of the device at issue. (Id. at 10 ("People expect an adequate warning if there is any real chance that a product could cause serious injury or death."); see also id. at 11 ("The point and belief to be taken from this is that the electrical current from the X26 is very small and that even with the worst case scenario, there is no danger.")). Presumably, the warnings at issue may be presented to the jury at trial, and the jurors will be able to assess what "people expect" and what beliefs these warnings convey based upon their own common sense and human experience. Dr. Wogalter's opinion is therefore also unhelpful in this regard.

Finally, the Court questions the reliability of Dr. Wogalter's opinion and whether it is sufficiently based on the facts of this case. Dr. Wogalter testified at deposition that he was unaware of what version of the TASER training program was presented to NHP

1 troopers as of January 4, 2008. In fact, much of Dr. Wogalter's 2 report is dedicated to Version 14 of TASER's training materials, 3 which were not released until after Officer Lazoff's most recent 4 training prior to the incident, while it is undisputed that Officer 5 Lazoff's most recent training was based on Version 13 of TASER's  $6 \parallel \text{training materials.}$  That is, Dr. Wogalter does not know what 7 warnings and training material the NHP and Officer Lazoff received. 8 For this reason, he cannot offer a reliable opinion of warnings he cannot identify.

For the foregoing reasons, Dr. Wogalter's testimony must be 11 excluded as not helpful and unreliable.

# 12 C. Dr. Douglas P. Zipes (#52)

13 Dr. Zipes is a foremost authority on electrophysiology, a sub-|14| specialty of cardiology that focuses on the electrical impulses that 15 regulate heart rhythm. He has published extensively on the topic. 16 He received his medical degree cum laude from Harvard Medical School 17 in 1964 and completely his residency in internal medicine and 18 cardiology at Duke University Medical Center. Dr. Zipes became a 19 | full Professor at Indiana University School of Medicine in 1976. He 20 is on the editorial board of more than fifteen cardiology journals, 21 and was the founding Editor-in-Chief of the Journal of 22 Cardiovascular Electrophysiology and of HeartRhythm, the official 23 journal of the Heart Rhythm Society, which he founded.

In his report (#68-2) prepared for this case, Dr. Zipes opines 25 that "to a high degree of medical certainty, the electrical impulses from a Model X26 electrical control device (ECD) manufactured by

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defendant TASER International, Inc. (TASER) caused the cardiac arrest, and therefore the death, of 33-year-old Ryan Rich, M.D., on January 4, 2008." (Zipes Report (#68-2) at 1.) More specifically, "[a] TASER Model X26 discharge can cause cardiac arrest by capturing the cardiac rhythm at very rapid rates and precipitating ventricular tachycardia or ventricular fibrillation, as shown in animal testing and human reports." (Id. at 53.)

8 The Court agrees with Plaintiffs that Defendant TASER's 9 objections to the admission of Dr. Zipes' testimony relate more to 10 the weight the jury should give those opinions than to 11 admissibility. While a number of studies contradict Dr. Zipes' 12 assertion the an ECD can cause cardiac arrest in humans, Dr. Zipes 13 has provided a thorough basis for his opinion and also undermined 14 the conclusions of those who disagree with him, mainly by 15 distinguishing other human and animal studies from the situation 16 that occurred in this case. For example, Dr. Zipes notes that he 17 discounts some human tests, many of which are TASER-funded, because 18 human studies are limited by ethical considerations: "human testing 19 must be designed with safety parameters to avoid VF inductions, 20 which eliminates the sort of testing done on pigs, where 21 fibrillation thresholds can be determined." (Id. at 47.) Animal 22 studies also present obvious and non-obvious limitations (animals 23 are tested under general anesthesia for ethical reasons (<u>Id.</u> at 43)) 24 that limit the application to the facts of this case. For these 25 reasons, the Court is not convinced that the number of studies going 26 against Dr. Zipes' opinion, many of them performed by individuals

1 associated with TASER, mandates that his testimony be excluded. Nor does the Court find convincing TASER's argument that Dr. Zipes can point to no peer-reviewed study that ECDs causes cardiac arrest in humans. Also for these reasons, the Court does not object to the anecdotal nature of some of Dr. Zipes' sources. While TASER accuses  $6 \parallel \text{Dr. Zipes of "cherry-picking" from the vast literature the few}$ 7 studies that support his conclusion, the Court is satisfied that Dr. Zipes has provided a reliable basis for his opinion that ECDs can indeed cause cardiac arrest in humans and did indeed cause the death  $10 \parallel \text{of Dr.}$  Rich on January 4, 2008, an opinion which is clearly relevant 11 and helpful to the jury. TASER will have the opportunity to cross-12 examine Dr. Zipes and undermine his testimony at trial by providing 13 contradictory evidence.

TASER further objects to the admission of Dr. Zipes' testimony 15 on the basis that he does not address the fact that the presenting, second, and third cardiac rhythm checks on Dr. Rich showed asystole, not VF. However, Dr. Zipes asserts the following in his report:

> The ECG recorded from the defibrillator pads at Spring Valley Hospital January 4, 2008, shows electrical activity consistent with very fine ventricular fibrillation at the end of the strip labeled 14:49:35 and in the strip labeled Probable monitor strips labeled Acuity begin at 14:02:42 are consistent with asystole. . . . So it is likely he developed ventricular fibrillation as the rhythm causing cardiac arrest, which progressed to low amplitude VF (called fine VF) and then asystole as the lack of cardiac perfusion progressed.

The Court is therefore convinced that Dr. Zipes' (Id. at 14-15.)testimony in this regard fits the facts of the case. Again, TASER is free to cross-examine Dr. Zipes and/or offer its own expert testimony to contradict his conclusions.

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For the foregoing reasons, the Court finds that Plaintiffs have satisfied their burden of demonstrating the admissibility of Dr. Zipes' expert testimony.

# 1. Dr. Zipes' Supplemental Report (#108-1)

While the Court denies TASER's motion in limine (#52) to 5 6 exclude the expert testimony of Dr. Zipes, the Court finds it 7 necessary to address TASER's later motion to strike (#109) Dr. 8 Zipes' Supplemental Report (#108-1). The Court agrees with 9 Defendant TASER that Dr. Zipe's supplemental report (#108-1) should 10 be stricken. Expert reports were due to be disclosed on April 26,  $11 \parallel 2011$ , and discovery closed on June 30, 2011. The supplemental 12 | report is therefore untimely and prejudicial to Defendant TASER, as 13 they have already completed their deposition of Dr. Zipes and 14 discovery is now closed. Furthermore, while Federal Rule of Civil 15 Procedure 26(e) allows a party to supplement information included in 16 an expert report, the Court finds that Plaintiffs are improperly 17 presenting new opinions under the guise of a "supplement" label. 18 The new report offers Dr. Zipes' opinion of the time period 19 necessary for a VF heart rhythm to degrade to asystole, an issue not 20 at all addressed in his previous report or deposition testimony.

This is not the first time Plaintiffs have attempted to obtain 22 additional discovery after the discovery deadline has passed. On 23 December 6, 2011, the Magistrate Judge granted (#100) TASER's motion 24 to quash (#61) for this very reason.

Accordingly, TASER's motion to strike (#109) must be granted. 26 Dr. Zipes' supplemental report (#108-1) is therefore stricken from

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1 the record and the Court does not consider the opinions offered therein. However, the Court in its discretion will deny TASER's request to impose any further sanction at this juncture.

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### V. Defendant TASER's Motion for Summary Judgment (#53)

# 6 A. Negligence and Strict Products Liability

7 Plaintiffs seek to hold TASER liable in negligence and strict products liability for failure to adequately warn about the cardiac risks of TASER ECD Model X26 shots to the chest. To bring a strict products liability claim under Nevada law, a Plaintiff must 11 establish the following elements: "1) the product had a defect which 12 rendered it unreasonably dangerous, 2) the defect existed at the 13 time the product left the manufacturer, and 3) the defect caused the plaintiff's injury." Fyssakis v. Knight Equip. Corp., 826 P.2d 570, 15 571 (Nev. 1992) (citing Ginnis v. Mapes Hotel Corp., 470 P.2d 135  $16 \mid (1970)$ ). "Causation is generally an issue of fact for the jury to 17 resolve." Yamaha Motor Co., U.S.A., v. Arnoult, 955 P.2d 661, 665 (Nev. 1998) (citing Nehls <u>v. Leonard</u>, 630 P.2d 258, 260 (Nev. 19 1981)).

Nevada law requires that warnings adequately communicate any 21 dangers that may flow from the use or foreseeable misuse of a 22 product. Fyssakis, 826 P.2d at 572-72. The Nevada Supreme Court 23 has articulated the conditions under which such liability may be established:

> Where the defendant has reason to anticipate that danger may result from a particular use of his product, and he fails to warn adequately of such a danger, the product sold without a warning is in a defective condition.

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Strict liability may be imposed even where the product is faultlessly made, if it was unreasonably dangerous to place the product in the hands of the consumer without adequate warnings concerning its safe and proper use.

Oak Grove Investors v. Bell & Gossett Co., 668 P.2d 1075, 1080 (Nev. 1983). "In Nevada, it is well-established law that in strict product liability failure-to-warn cases, the plaintiff bears the burden of production and must prove, among other elements, that the inadequate warning caused his injuries." Rivera v. Phillip Morris, Inc., 209 P.3d 271, 273 (Nev. 2009) (rejecting a heeding presumption as contrary to Nevada law). The adequacy of the provided warnings is usually a question for the jury. Id. (citation omitted).

Plaintiffs' core claim is that TASER manufactured and sold its X26 ECD without adequate warnings for use. Plaintiffs argue that Dr. Rich suffered a cardiac arrest as a result of this failure to warn. Specifically, Plaintiffs claim that TASER failed to warn about the increased risk of cardiac arrest arising from chest shots and negligently instructed users to target the chest in spite of TASER's knowledge about the cardiac risks of chest shots. TASER argues that the ECD is incapable of causing Dr. Rich's death, that TASER's warnings were adequate, and that a failure to warn to did not cause Dr. Rich's death.

### 1. Causation

First, Plaintiff must establish a link between the allegedly inadequate warning and the resulting injury. See Rivera, 209 P.3d at 273. TASER argues that Plaintiff has produced no evidence that a different warning would have resulted in a different outcome. However, viewing the evidence in a light most favorable to

Plaintiffs, a reasonable jury could conclude that Officer Lazoff
would not have targeted the chest of Dr. Rich had he been warned
about the possibility of cardiac arrest and death. In deposition,
Officer Lazoff testified that he was taught to aim the ECD at the
"chest area:"

- Q. ... What do you recall specifically being taught about where to aim the device when firing?
- A. It was center mass.
- Q. Okay. Center mass is what exactly?
- A. I guess, you know, the chest area.

(Lazoff Dep. (#70-2) at 65.) Officer Lazoff further testified that he was not taught that there were any cardiac risks associated with the use of the ECD, nor was he aware of any. (Id. at 81.) In fact, Officer Lazoff was presented with pig studies during his training that indicated to him that the ECD was safe. (Id.) While Officer Lazoff does not testify directly that he would not have fired an ECD at an individual's chest had he been specifically warned about the risks of cardiac arrest and death, he does gives testimony that gives rise to such an inference:

- Q. I know that you have not deployed your TASER since this incident.
- A. Yes.
  - Q. But assuming that you were required to do so because of a certain set of circumstances that you confronted while on duty, would you target the chest?

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- After going through all this I don't think I'll Α. probably - - if I didn't have - - if it wasn't mandatory that I had to have it on my belt, I wouldn't carry it is I guess the best way to answer that question.
- . . . You wouldn't carry a TASER ECD? 0.
- No. Α.

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- Q. Why not?
- I - I - having to go through this it is just not Α. worth the risk.

(Id. at 247-48.) Therefore, assuming for now that the ECD was a contributing factor in Dr. Rich's cardiac arrest, Plaintiffs have 13 produced sufficient evidence that a different warning would have 14 resulted in a different outcome.

15 The Court next turns to the issue of proximate causation. "To 16 establish a prima facie case of negligence or strict tort liability, 17 a plaintiff must satisfy the element of proximate causation."  $18 \parallel \text{Yamaha Motor Co., } 955 \text{ P.2d at } 664.$  That is, "it must appear that 19 the injury was the natural and probable consequence of the 20 negligence or wrongful act, and that it ought to have been foreseen 21 in the light of the attending circumstances." Crosman v. S. Pac. 22 Co., 173 P. 223, 228 (Nev. 1918). Defendant TASER argues that 23 Plaintiffs cannot show that ECDs are capable of causing cardiac 24 arrest, nor have they provided evidence that the ECD caused Dr. Rich 25 to go into cardiac arrest in this case. However, Plaintiffs have 26 produced the expert testimony of Dr. Zipes, as described above. Dr.

1 Zipes' testimony is sufficient to establish a genuine issue of 2 material fact as to whether repeated discharges of an ECD into a 3 target's chest can cause VF and/or asystole. Further, Dr. Zipes' 4 testimony is sufficient to establish that the ECD in fact caused Dr.  $5 \parallel \text{Rich's cardiac arrest and subsequent death in this case.}$  Dr. Zipes 6 opined to a reasonable degree of medical certainty that the ECD 7 caused Dr. Rich's death. Defendants' evidence to the contrary does  $8 \parallel \text{not eliminate this genuine issue of material fact as to causation,}$ 9 which in any event, is appropriately assigned to the jury. See  $10 \parallel \text{Yamaha Motor Co., } 955 \text{ P.2d}$  at 664 ("Proximate causation is generally  $11 \parallel$ an issue of fact for the jury to resolve.") (citing Nehls, 630 P.2d 12 at 260).

#### 2. Reasonableness

Plaintiffs must also produce evidence that "it was unreasonably 15 dangerous to place the product in the hands of the consumer without 16 adequate warnings concerning its safe and proper use." Oak Grove, |17||668 P.2d at 1080. In other words, Plaintiffs must show that TASER 18 acted unreasonably in failing to provide appropriate warnings, and 19 that they knew or should have known that their allegedly inadequate 20 warnings created an unreasonably dangerous condition. See Fontenot 21 v. TASER Int'l, Inc., No. 3:10cv125-RJC-DCK, 2011 WL 2535016, at \*10 22 (W.D.N.C. June 27, 2011).

TASER first argues that its warnings are adequate because it 24 did not know nor should it have known of an unreasonably dangerous 25 condition. Under Nevada law, a plaintiff in a duty-to-warn products 26 liability action must demonstrate that a defendant had "reason to

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1 anticipate that danger may result from a particular use of his
  product." Plaintiffs, however, have produced sufficient evidence to
3 raise a genuine issue of material fact as to whether TASER knew or
4 should have known that avoiding discharges to the chest area reduces
5 the risk of cardiac arrhythmias. For example, Plaintiffs point to a
6 TASER-funded study by electrophysiologists Dhanunjaya Lakkireddy,
7 M.D., and Patrick J. Tchou, M.D., that found that X26 discharges
  through darts on the front chest of anaesthetized pigs "captured"
9 cardiac rhythm. (Zipes Report (#68-2) at 38-39.) The authors
  published their findings in the peer-reviewed Journal of American
11 College of Cardiology in March, 2006, cautioning that "[a]voidance
12 \parallel \text{of} this position would greatly reduce any concern for induction of
13 ventricular arrhythmias." (#71-5 at 810.) On the basis of this
14 evidence alone a jury could reasonably conclude that TASER knew of
15 the cardiac risks of repeated ECD applications to the front chest.
16
        TASER next argues that it is entitled to summary judgment
17 because TASER repeatedly warned against repetitive ECD applications;
18 in other words, TASER argues that its warnings are adequate as a
19 matter of law. Under Nevada law, "warnings must be (1) designed to
20 reasonably catch the consumer's attention, (2) that the language be
21 comprehensible and give a fair indication of the specific risks
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TASER presents evidence that during his training, Officer 26 Lazoff was warned to avoid extended and repeated ECD applications

23 sufficient intensity justified by the magnitude of the risk." Lewis

22 attendant to use of the product, and (3) that warnings be of

v. Sea Ray Boats, Inc., 65 P.3d 245, 250 (Nev. 2003).

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1 where practicable. Specifically, Version 13 of the TASER training
2 materials warn, among other things, that "TASER applications
3 directly across the chest may cause sufficient muscle contractions
4 to impair normal breathing patterns. While this is not a
5 significant concern for short (5 sec) exposure, it may be a more
6 relevant concern for extended duration or repeated application."
   (TASER Ex. 18.) Another warning provides that "[u]nrelated to TASER
8 exposure, conditions such as excited delirium, severe exhaustion,
9 drug intoxication or chronic drug abuse, and/or over exertion from
10 \parallel \text{physical struggle may result in serious injury or death."}
11 \parallel \text{This} same warning also provides that extended or repeated TASER
12 exposure may impair a subject's ability to breathe, although
13 conscious human volunteers continued to breathe during extended
14 application, and tests on anesthetized pigs did cause cessation of
15 breathing, "although it is unclear what impact the anesthesia or
16 other factors may have had on the test results. Accordingly, it is
17 advisable to use expedient physical restraint in conjunction with
18 \parallel the TASER device to minimize the overall duration of stress,
19 exertion, and potential breathing impairment particularly on
20 individuals exhibiting symptoms of excited delirium and/or
21 exhaustion."
                 (Id.)
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Viewing the evidence in a light most favorable to Plaintiffs, these warnings cannot be said to be adequate as a matter of law. A reasonable jury could conclude that they do not adequately warn of the specific risk of cardiac arrest and death, or that they do not adequately advise of the risk of aiming at a target's chest. A

1 reasonable jury could likewise conclude that these warnings are not 2 of sufficient intensity given the magnitude of the risk. Further, 3 while other training materials also recommend that a user consider 4 targeting the waist area, Officer Lazoff testified, as noted above, 5 that he was instructed to aim at the chest area, and a reasonable  $6 \parallel$  jury could conclude that users should have been explicitly advised  $7 \parallel$  to avoid the chest area. TASER will have the opportunity to argue to a jury that its warnings were not defective, and the jury may agree. However, the evidence presented here does not permit this 10 Court to find that the warnings are adequate as a matter of law.

For the foregoing reasons, Plaintiffs have produced sufficient 12 evidence of the elements of their products liability claim against 13 Defendant TASER to survive summary judgment.

## 14 B. Plaintiffs' Misrepresentation Claims

The Court finds that Defendants are entitled to summary 16 judgment on Plaintiffs' third, fourth, and fifth causes of action 17 for the following reasons.

#### 1. Intentional Misrepresentation

As their third cause of action for intentional 20 misrepresentation, Plaintiffs claim that Defendant TASER knowingly 21 made false representations to the NHP regarding the ECD at issue 22 that resulted in Dr. Rich's death. (Compl. ¶¶ 42-43 (#1).) 23 Plaintiffs further allege that the NHP relied upon the 24 misrepresentation, as TASER intended. (Id.  $\P$  43.) Plaintiffs' claim, however, fails as a matter of law.

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The Court finds that Plaintiffs have not alleged and cannot establish the required elements of an intentional misrepresentation claim. Under Nevada law, Plaintiffs have the burden of proving the following elements:

- (1) A false representation made by the defendant;
- (2) defendant's knowledge or belief that its representation was false or that defendant has an insufficient basis of information for making the representation;
- (3) defendant intended to induce plaintiff to act or refrain from acting upon the misrepresentation; and
- (4) damage to the plaintiff as a result of relying on the misrepresentation.

Barmettler v. Reno Air, Inc., 956 P.2d 1382, 1386 (Nev. 1998) (citations omitted), limited on other grounds by Olivero v. Lowe, 995 P.2d 1023 (2000). Plaintiffs have not alleged and have produced no evidence to establish the third and fourth elements of an intentional misrepresentation claim. The requisite relationship between a plaintiff and defendant normally found in a claim for intentional misrepresentation is not present in this case. While Plaintiffs allege that TASER intended to induce the Nevada Highway Patrol to act upon the alleged misrepresentation, they have failed to allege and provide evidence that TASER intended that Dr. Rich rely on the misrepresentation. Nor have Plaintiffs alleged or offered proof that Dr. Rich did in fact rely on any such misrepresentation. Where there is a complete failure of proof on an essential element of the nonmoving party's case, all other facts become immaterial, and the moving party is entitled to judgment as a matter of law. Celotex, 477 U.S. at 323. TASER is therefore

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1 entitled to summary judgment on Plaintiffs' third cause of action 2 for intentional misrepresentation.

#### 2. Fraudulent Concealment and Deceit

Plaintiffs allege that Defendant TASER concealed material facts about the ECD that the NHP relied on as intended by TASER. (Compl. ¶¶ 47-50 (#1).) Plaintiffs further allege that they have suffered damages as a result of TASER's concealments. (Id. ¶ 51.) There are five essential elements to a claim for fraudulent concealment under Nevada law:

- (1) The defendant must have concealed or suppressed a material fact;
- (2) The defendant must have been under a duty to disclose the fact to the plaintiff;
- (3) The defendant must have intentionally concealed or suppressed the fact with the intent to defraud the plaintiff, that is, he must have concealed or suppressed the fact for the purpose of inducing the plaintiff to act differently than he would if he knew the fact;
- (4) The plaintiff must have been unaware of the fact and would not have acted as if he did if he had known of the concealed or suppressed fact;
- (5) And, finally, as a result of the concealment or suppression of the fact, the plaintiff must have sustained damages.

Nev. Power Co. v. Monsanto Co., 891 F.Supp. 1406, 1415 (D.Nev. 1995) (citation omitted).

This claim fails as a matter of law for the same reason
Plaintiffs' intentional misrepresentation claim fails. Plaintiffs
have not alleged nor have they offered proof on a number of
essential elements of their claim. Specifically, Plaintiffs have
not alleged and cannot show that TASER intentionally concealed a

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1 material fact with the intent to defraud Dr. Rich, nor that TASER
  induced Dr. Rich to act differently than he would have had he known
  the allegedly concealed facts. Plaintiffs have not alleged and
  cannot show that Dr. Rich would have acted differently if he had
  known the allegedly suppressed facts about the ECD. Moreover,
  because Dr. Rich never purchased a product from Defendant TASER,
  Plaintiffs cannot establish a duty to disclose as required by the
  second element of a fraudulent concealment claim. See also Moretti
  v. Wyeth, Inc., No. 2:08-cv-00396-JCM-(GWF), 2009~WL~749532, at *3
  (D.Nev. Mar. 20, 2009) (dismissing fraud by concealment and
11 misrepresentation by omission claims "because Plaintiff never
12 purchased a [product from Defendant], [so] there is no business
13 transaction and Plaintiff's claims fail."). Because Plaintiffs have
  failed to allege and offer proof on a number of essential elements
  of their claim, TASER is entitled to summary judgment.
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       Moreover, "Nevada has expressly rejected the tort in cases such
|17| as this, where Plaintiff seeks recovery for personal injuries.
  Forest, 791 F.supp. At 1470. The same rational applies to
  Plaintiff's fraud claims." <u>Id.</u> at *3 (citing <u>Dow Chem. Co. v.</u>
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  Mahlum, 970 P.2d 98, 110-11 (Nev. 1998)). In other words,
  Plaintiffs cannot circumvent the requirements of a wrongful death
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  action by styling their claim as one sounding in fraud.
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### 3. Negligent Misrepresentation

Nevada has adopted the Restatement view of negligent misrepresentation:

One who, in the course of his business, profession or employment, or in any other transaction in which he has a

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pecuniary interest, supplies false information for the guidance of others in their business transactions, is subject to liability for pecuniary loss caused to them by their justifiable reliance upon the information if he fails to exercise reasonable care or competence in obtaining or communicating the information . . .

Restatement (Second) of Torts § 552(1) (1977). See Bank of Nev., 616 P.2d 398, 399 (quoting § 552). As we have previously held, "[i]t is clear from this passage that the tort is only available to those suffering pecuniary injury in the context of a business transaction." Forest, 791 F.Supp. at 1470. As such, Plaintiffs have alleged no facts to support a claim of negligent misrepresentation nor could Plaintiffs recover damages for wrongful death. This claim fails to state a cause of action and we therefore grant summary judgment in favor of Defendant TASER on this issue.

The Court again notes that Plaintiffs cannot eschew the requirements of a products liability action by styling it as one for negligent misrepresentation. See Foster v. Am. Home Prod. Corp., 29 F.3d 165, 168 (4th Cir. 1994) ("[T]he allegations of negligent misrepresentation are an effort to recover for injuries caused by a product without meeting the requirements the law imposes in products liability actions.").

#### C. TASER's Defenses

# 1. The Sophisticated Purchaser/Bulk Supplier Doctrine

TASER argues that, as a matter of law, it cannot be held liable for not warning the ultimate recipient of the ECD exposure under the bulk supplier or sophisticated user doctrine. This Court has previously held that

the relevant question in bulk supplier cases is whether the bulk supplier was objectively reasonable in relying on a knowledgeable intermediary to provide a warning to ultimate users. This involves proof of two elements: 1) that the bulk supplier was reasonable in believing that the intermediary knew of the dangers associated with the bulk product, and 2) that the bulk supplier was reasonable in relying on the intermediary to warn the ultimate users of such dangers.

Forest, 791 F.Supp. at 1466. As is apparent from this recitation of the law, the bulk supplier doctrine categorically does not apply to the facts of this case. Plaintiffs' claims are based on TASER's 9 failure to warn the NHP and Officer Lazoff of the risks associated  $10 \parallel$  with prolong exposure to the chest. TASER does not argue that it  $11 \parallel \text{relied}$  on the NHP and Officer Lazoff to warn Dr. Rich of the risks TASER's argument that police officers are some sort of 13 | learned intermediary that are in a better position to determine the 14 risk of TASER's product than TASER is borders on ridiculous and is 15 | belied by TASER's own training and warning materials. TASER's 16 warnings establish they are not relying on the police officers' 17 expertise regarding the dangers of ECD usage. Furthermore, as 18 described above, Officer Lazoff was unaware of the cardiac risks 19 associated with ECD discharges to the chest. As noted by 20 | Plaintiffs, this doctrine simply has no bearing on the resolution of 21 this matter.

### 2. Assumption of Risk/Comparative Negligence

TASER argues that Dr. Rich's assumption of risk bars 24 Plaintiffs' strict liability claim as a matter of law. "While 25 assumption of risk is no longer a bar to negligence, it is a defense 26 to strict products liability." Cent. Tel. Co. v. Fixtures Mfg.

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Corp., 738 P.2d 510, 512 (Nev. 1987) (citations omitted). In order
   to establish the defense to a claim for strict products liability, a
  defendant must show "(1) that the plaintiff actually knew and
  appreciated the particular risk or danger created by the defect, (2)
  that the plaintiff voluntarily encountered the risk while realizing
  the danger, and (3) that the plaintiff's decision to voluntarily
   encounter the known risk was unreasonable." Id. (citation omitted).
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        While Dr. Rich knew of the dangers of driving given his history
9 \mid \text{of epilepsy} and seizures, and he should not have been driving, TASER
10 \parallel cannot establish that Dr. Rich was aware of the particular risk
11 \parallel \text{created} by the allegedly defective ECD, nor can TASER establish that
12 \parallel \text{Dr. Rich voluntarily decided to encounter that risk.} The defense
13
  does not apply here.
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        With regard to Plaintiffs' claim sounding in negligence, Nevada
   law provides the following:
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        In any action to recover damages for death or injury to
        persons . . . in which comparative negligence is asserted
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        as a defense, the comparative negligence of the plaintiff
        or the plaintiff's decedent does not bar a recovery if
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        that negligence was not greater than the negligence or
        gross negligence of the parties to the action against whom
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        recovery is sought.
20 Nev. Rev. Stat. § 41.141(1). In other words, a plaintiff may not
21 recover if the comparative negligence of the plaintiff's decedent is
22 greater than the negligence of the defendant. Nev. Rev. Stat. §
23 41.141(2)(a). While TASER argues that no reasonable juror could
  conclude that Dr. Rich was not more at fault for his death than
  TASER, the Court finds otherwise. TASER can present its argument
  that Dr. Rich is more responsible for his death than TASER to the
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1 jury, but with the jury is where this question belongs. See Thomas v. Bokelman, 462 P.2d 1020, 1022 (Nev. 1970) ("Courts are reluctant 3 to grant summary judgment in negligence cases because 4 foreseeability, duty, proximate cause and reasonableness usually are questions of fact for the jury.").

### 3. Plaintiff R.J.'s Standing

TASER argues that Plaintiff R.J., the minor child of decedent 8 Dr. Rich, does not have standing because Plaintiffs have not 9 asserted a wrongful death action. TASER's argument in this regard 10 is completely without merit. The entire complaint sounds in  $11 \parallel$  wrongful death and the entire action is readily and best 12 characterized as an action for wrongful death.

However, the Nevada wrongful death statute provides that only 14 the heirs and personal representatives of the decedent may maintain 15 such an action. See Nev. Rev. STAT. § 41.085(2) ("When the death of 16 any person . . . is caused by the wrongful act or neglect of 17 another, the heirs of the decedent and the personal representatives  $18 \parallel$  of the decedent may each maintain an action for damages against the 19 person who caused death."). It is undisputed that seven months 20 after Dr. Rich's death, before the instigation of this action, R.J. 21 was adopted by her stepfather.

Because this issue was only briefly addressed in the parties' 23 motions regarding summary judgment, and because the resolution of 24 this issue is not necessary to the disposition of the pending 25 motions, the Court will order the parties to further brief the issue 26 of whether R.J.'s adoption prior to the filing of the complaint (#1)

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1 in this case severed her ability to bring a wrongful death action with regard to her natural father, Dr. Rich, pursuant to Nevada law.

### D. Punitive Damages

Pursuant to Nevada statutory law, an award of punitive or 4 5 exemplary damages for the sake of punishing a defendant are only 6 available "where it is proven by clear and convincing evidence that 7 the defendant has been guilty of oppression, fraud or malice, 8 express or implied." Nev. Rev. Stat. § 42.005(1). "Implied malice" 9 is defined as "conduct which is engaged in with a conscious 10 disregard of the rights or safety of others." Nev. Rev. Stat. §  $11 \parallel 42.001(3)$ . Therefore, a plaintiff must show that a defendant 12 subjected him to "cruel and unjust hardship in conscious disregard 13 of his rights." Jeep Corp. v. Murray, 708 P.2d 297, 304 (Nev. 1985) |14| (quotation marks and citation omitted); see also White v. Ford Motor 15 Co., 312 F.3d 998, 1010-11 (9th Cir. 2002) (asserting that a 16 plaintiff must establish that a defendant acted despicably with 17 conscious disregard for his rights). "'Conscious disregard' means 18 the knowledge of the probable harmful consequences of a wrongful act |19| and a willful and deliberate failure to act to avoid those 20 consequences." Nev. Rev. Stat. § 42.001(1).

The Court agrees that it cannot conclude as a matter of law 22 that TASER did not act in conscious disregard of the rights or 23 safety of others. While TASER has produced evidence to the 24 contrary, there still remains a genuine issue of material fact when viewing the evidence in light most favorable to Plaintiffs.

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VI. Conclusion

2 While Plaintiffs have failed to establish the reliability and 3 helpfulness of Dr. Engel and Dr. Wogalter, they have successfully established the admissibility of Dr. Zipes' testimony. Dr. Zipes' opinion that to a reasonable degree of medical certainty Defendant 6 TASER's ECD caused the cardiac arrest and subsequent death of the 7 decedent Dr. Rich is sufficient to create a genuine issue of 8 material fact as to causation. Furthermore, Plaintiffs have also 9 established genuine issues as to the adequacy of Defendant TASER's 10 warnings and whether different warnings would have resulted in 11 different outcomes. These issues, along with the question of 12 punitive damages, are questions of fact appropriately left to a 13 jury, which could reasonably agree with either Plaintiffs or 14 Defendant TASER.

Meanwhile, Defendants have successfully established that they 16 are entitled to judgment as a matter of law on Plaintiffs' third, 17 fourth, and fifth causes of action sounding in misrepresentation and  $18 \parallel \text{fraud}$ . Additionally, the parties are ordered to brief the issue of 19 Plaintiff R.J.'s standing.

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IT IS, THEREFORE, HEREBY ORDERED that Defendant TASER's motion 22 in limine (#50) to exclude the testimony of Dr. Jerome Engel is GRANTED.

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IT IS FURTHER ORDERED that Defendant TASER's motion in limine (#51) to exclude the testimony of Dr. Michael Wogalter is GRANTED.

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1 IT IS FURTHER ORDERED that Defendant TASER's motion in limine 2 (#52) to exclude the testimony of Dr. Douglas Zipes is **DENIED**. 3 IT IS FURTHER ORDERED that Defendant TASER's motion for summary judgment (#53) is **GRANTED** in part and **DENIED** in part. The motion is granted as to Plaintiff's third, fourth, and fifth causes of action, and denied with regard to Plaintiff's first and second causes of 7 action. 8 IT IS FURTHER ORDERED that Defendant TASER's motion to strike (#109) is **GRANTED**. Plaintiffs' supplemental report of Dr. Zipes (#108-1) is stricken from the record. 11 IT IS FURTHER ORDERED that the parties shall have twenty-one  $12 \parallel (21)$  days within which to file contemporaneous memoranda of points 13 and authorities briefing the issue of whether the adoption of 14 Plaintiff R.J., a minor, by her stepfather precludes her from 15 bringing this wrongful death action with regard to her natural 16 father, Dr. Rich. The parties shall have an additional fourteen (14) days thereafter within which to file their respective responses. There will be no replies. 19 20 21 22 DATED: March 30, 2012. 23 24 25 26

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